



Filing ID #10005852

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Barbara Lee  
**Status:** Member  
**State/District:** CA13

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2014  
**Filing Date:** 05/15/2015

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ Sun America Secure Value Account		\$50,001 - \$100,000	Dividends	None	<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Allocation Portfolio		\$100,001 - \$250,000	None		<input type="checkbox"/>
AIG Polaris Choice IV Variable Annuity (formerly SunAmerica) ⇒ SunAmerica Dynamic Strategy Portfolio		\$100,001 - \$250,000	None		<input type="checkbox"/>
ARC Healthcare Trust, Inc.		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Bank of America Checking Account		\$1,001 - \$15,000	None		<input type="checkbox"/>
Book contract with Rowman & Littlefield Publishers, Inc. (Approved by Committee)  DESCRIPTION: Book contract		Undetermined	None		<input type="checkbox"/>
Charles Schwab Money Market Account		\$1 - \$1,000	Interest	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
East West Bank Accounts, Oakland, CA		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Federated Capital Reserves Money Market Account		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Delaware SMID Cap Growth Class C Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Fidelity Freedom 2020 Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Fidelity SEP IRA ⇒ Mass Investors Growth Fund		\$1 - \$1,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Franklin Templeton Franklin Money Fund Class A		\$1,001 - \$15,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ American Funds Growth Portfolio		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Clearbridge Aggressive Growth Portfolio		\$15,001 - \$50,000	None		<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Franklin California Tax-Free Income Fund		None	Interest	\$1 - \$200	<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Goldman Sachs Mid Cap Value Portfolio		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Invesco Small Cap Growth Portfolio		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Met Life Growth Strategy Portfolio		None	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Metlife Asset Allocation 100 Portfolio		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Pyramis Government Income Portfolio		\$15,001 - \$50,000	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio		None	None		<input checked="" type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Vanguard Inflation Protected Securities Fund		None	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
Wells Fargo Checking Account		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
Wright-Patman Congressional Credit Union Checking and Savings Accounts		\$1 - \$1,000	Interest	None	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Met Life Variable Annuity Fund ⇒ American Funds Growth Portfolio		04/4/2014	P	\$15,001 - \$50,000	
Met Life Variable Annuity Fund ⇒ Clearbridge Aggressive Growth Portfolio		04/4/2014	P	\$15,001 - \$50,000	
Met Life Variable Annuity Fund ⇒ Franklin California Tax-Free Income Fund		03/18/2014	P	\$1,001 - \$15,000	
Met Life Variable Annuity Fund ⇒ Franlin California Tax-Free Income Fund		04/3/2014	S	\$1,001 - \$15,000	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Goldman Sachs Mid Cap Value Portfolio		04/4/2014	P	\$15,001 - \$50,000	
Met Life Variable Annuity Fund ⇒ Invesco Small Cap Growth Portfolio		04/4/2014	P	\$15,001 - \$50,000	
Met Life Variable Annuity Fund ⇒ Met Life Growth Strategy Portfolio		04/4/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Met/Franklin Low Duration Total Return Fund		04/4/2014	S	\$50,001 - \$100,000	<input type="checkbox"/>
Met Life Variable Annuity Fund ⇒ Metlife Asset Allocation 1000 Portfolio		04/4/2014	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Met Life Variable Annuity Fund ⇒ Pyramis Government Income Portfolio		11/17/2014	P	\$15,001 - \$50,000	
Met Life Variable Annuity Fund ⇒ T. Rowe Price Large Cap Growth Portfolio		04/4/2014	S	\$15,001 - \$50,000	<input type="checkbox"/>

\* Asset class details available at the bottom of this form.

SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Credit Union Mortgage Association, Fairfax, VA	January 2011	Mortgage on DC Condo Residence	\$250,001 - \$500,000
	American Express	December 2014	Credit card	\$15,001 - \$50,000
	Wright-Patman Congressional Federal Credit Union, DC	June 2012	Loan and line of credit	\$15,001 - \$50,000
	Wells Fargo Bank	July 2012	Mortgage on Palm Desert, CA Condo Residence	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Member, Congressional Advisory Council	The Faith and Politics Institute (Nonprofit Public Benefit Corporation)
Board Member	WILL/WAND (Nonprofit Public Benefit Corporation)
Board Member	Project Vote Smart (Nonprofit Public Benefit Corporation)
Trustee	Ghana Children's Fund (Nonprofit Public Benefit Corporation, a Project of the East Bay Community Fou
Board Member	ProgressiveCaucus.org (Nonprofit Public Benefit Corporation)
Member, Honorary Board of Advisors	National Student Leadership Conference (Nonprofit Public Benefit Corporation)
Member, Congressional Advisory Board	The Humpty Dumpty Institute (Nonprofit Public Benefit Corporation)

SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
Progressive Congress	02/6/2014	02/8/2014	Washington DC - Philadelphia, PA - San Francisco CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Participated in panel discussions and meeting regarding public policy. Sponsor did not pay for return travel on 2/8 to San Francisco.							
American Israel Education Foundation	02/15/2014	02/22/2014	San Francisco CA - Israel - San Francisco CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
COMMENTS: Meetings with government officials, US Government officials, members of civil society, scholars and policy experts.							
The Humpty Dumpty Institute	03/31/2014	04/1/2014	Washington DC - New York City NY - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Meeting with United Nations officials and US government representatives regarding foreign policy and United Nations.							
Center for Democracy in the Americas	05/3/2014	05/6/2014	Washington DC - Havana Cuba - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Meetings with various Cuban government officials and meeting with US contractor Alan Gross.							
Center for Democracy in the Americas	06/26/2014	07/1/2014	Washington DC - Havana Cuba - San Francisco CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Meetings with Cuban and US government officials. Meetings with several womens groups regarding economic issues.							
New Millennium Church	09/13/2014	09/14/2014	Baltimore MD - Little Rock AR - Baltimore MD	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Made speech on social justice and peace and congressional work.							
California Nurses Association/National Nurses Organizing Com	09/23/2014	09/24/2014	Oakland CA - Las Vegas NV - Washington DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Delivered policy remarks and received award at sponsor's membership meeting.							
Interfaith Communities United for Justice and Peace	10/12/2014	10/13/2014	Oakland CA - Los Angeles CA - Oakland CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
COMMENTS: Attended sponsor's dinner to receive ICUJP Leadership Award.							
Maher Live, Inc.	10/17/2014	10/18/2014	Oakland CA - Burbank CA - Not applicable	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Appearance on "Real Time with Bill Maher" on HBO							
Medical Education Cooperation with Cuba (MEDICC)	12/12/2014	12/16/2014	Washington DC - Havana Cuba - San Francisco CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Meetings with government officials, health care workers and providers, and meetings with civil society regarding US policy, diabetes policy and health care policy research.							
CBC Political Education and Leadership Institute	11/7/2014	11/8/2014	Oakland CA - San Diego CA - Oakland CA	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
COMMENTS: Pariticipated in policy panels and meetings on wide range of issues and work in Congress including housing and health care.							

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Source	Activity	Date	Amount	Charity Name
New Millenium Church, Little Rock, Arkansas	Speech	09/14/2014	\$1,000	
HBO's Bill Maher Show	Appearance	10/17/2014	\$800	

## SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none"> <li>o AIG Polaris Choice IV Variable Annuity (formerly SunAmerica)</li> <li>o Fidelity SEP IRA LOCATION: US</li> <li>o Met Life Variable Annuity Fund</li> </ul>
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## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## **CERTIFICATION AND SIGNATURE**

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Barbara Lee , 05/15/2015